

What is light?

- Particles?

Newton, early 1700s:

geometric optics, reflection, refraction

- Waves?

Huygen, 1700 + Young, early 1800s

interference, diffraction, wavelets,  
superposition

by mid 1800s, wave theory was dominating  
over particle theory

By end of 1900's everything changed!

### Black body problem

Imagine a body that is heated up to some temp

$\Rightarrow$  keep the temperature constant

$\Rightarrow$  look at the distribution of light given off

$\Rightarrow$  there will be different intensities of light of  
different frequencies (colors)

This should be familiar: heat something up,  
color changes

as temp  $\uparrow$ , freq of light given off  $\uparrow$  (bluer)

example: Sun surface temp is 5000-6000 K

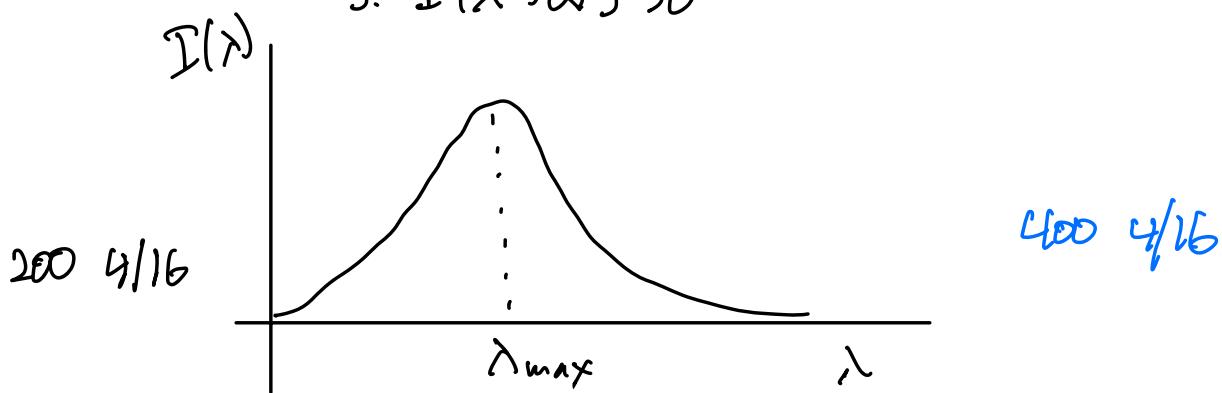
energy peaks around  $\lambda = 550 \text{ nm}$

But there is some light intensity at all frequencies

So expect: 1.  $I(\lambda)$  peaks at  $\lambda_{\max}$

2.  $I(\lambda \rightarrow 0) \rightarrow 0$

3.  $I(\lambda \rightarrow \infty) \rightarrow 0$



Ideal body will absorb all frequencies

$\Rightarrow$  so the  $I(\lambda)$  given off only depends on temp T

so  $I = I(\lambda, T)$

this ideal body is called a "black body"

because it absorbs everything, no reflections

At constant T, it is in equilibrium ( $E_{\text{in}} = E_{\text{out}}$ )

Can build such a thing by a hollow cavity with a pin hole for energy to get in (won't escape out)

Then EM radiation out goes thru surface



$\Rightarrow$  Surface radiation is in equilibrium with radiation inside cavity

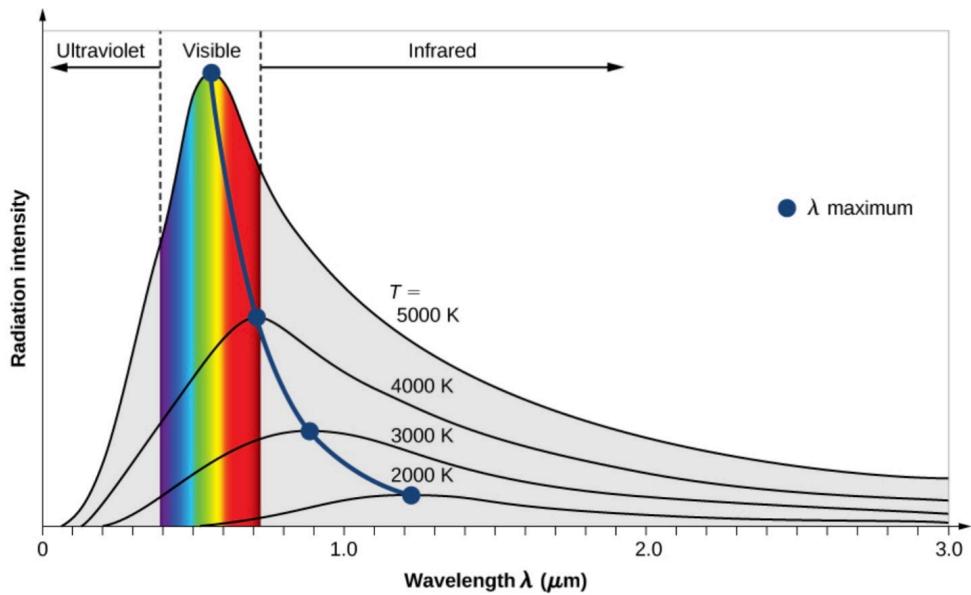
$\Rightarrow I(\lambda, T)$  is intensity vs wavelength for a fixed temperature  $T$

$\Rightarrow$  this is "black body radiation"

By late 1800s, technology allowed accurate measuring of black body spectrum

$\Rightarrow$  especially using telescopes to measure spectrum of stars

Here is what was measured:



Note: intensity peak wavelength depends on temp  
as  $T$  increases, peak  $\lambda$  decreases

From experiment (plot above) we have Wien's law:

$$\lambda_{\max} T = 2.898 \times 10^{-3} \text{ m} \cdot \text{K} \quad \text{for visible range} \quad \lambda_{\max}$$

ex: Sun's energy peaks at  $\lambda \sim 500 \text{ nm}$  yellow

$$T_{\text{Sun}} = \frac{2.898 \times 10^{-3} \text{ m} \cdot \text{K}}{500 \times 10^{-9} \text{ m}} = 5800 \text{ K}$$

Stefan's law: relates power radiated per temp

Power radiated: integrate over all wavelengths

remember Intensity  $I = \frac{\text{Power}}{\text{area}}$  so Power =  $I \cdot \text{Area}$

$$\text{Stefan's law: } \frac{P(T)}{A} = \sigma T^4 \Rightarrow \sigma = 5.67 \times 10^{-8} \frac{W}{m^2 K^4}$$

so  $P(T) = \sigma A T^4$   $A = \text{surface area of the body radiating}$

$$\text{ex: } T_{\text{sun}} = 5800 \text{ K}$$

$$\text{so } I(5800) = 5.67 \times 10^{-8} \frac{W}{m^2 K^4} \times (5800)^4 \\ = 64 \times 10^6 \frac{W}{m^2}$$

$$\text{Radius} = 7 \times 10^8 \text{ m}$$

$$\text{surface area } A = 4\pi r^2 = 4\pi \times (7 \times 10^8 \text{ m})^2 = 4.9 \times 10^{17} \text{ m}^2$$

$$\text{so power radiated } P = I \cdot \text{Area}$$

$$= 64 \times 10^6 \frac{W}{m^2} \times 4.9 \times 10^{17} \text{ m}^2 \\ = 3.1 \times 10^{25} \text{ W}$$

So...  $I(\lambda)$  for different temperatures is **VERY weird** given what was known about EM radiation:

1. EM energy is in periodic waves

2. for EM waves energy  $\propto$  amplitude<sup>2</sup>

but here,

$\Rightarrow$  as  $T$  increases, total energy absorbed is larger

$\Rightarrow$  what is measured is that larger energy (higher  $T$ ) means shorter wavelengths

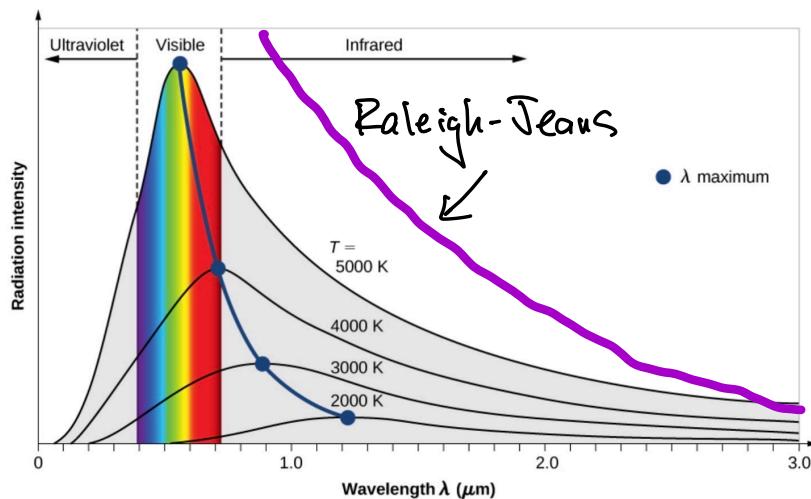
$\Rightarrow$  since  $\lambda f = c$ , shorter  $\lambda$  = longer  $f$

so larger  $E = \text{larger } f \text{ E}_\text{Hff}?$

What is black body problem in late 19<sup>th</sup> cent?

how to calculate black body spectrum using what was known about thermodynamics and EM radiation

Raleigh-Jeans: published 1<sup>st</sup> attempt in 1900



Raleigh-Jeans does not fit!

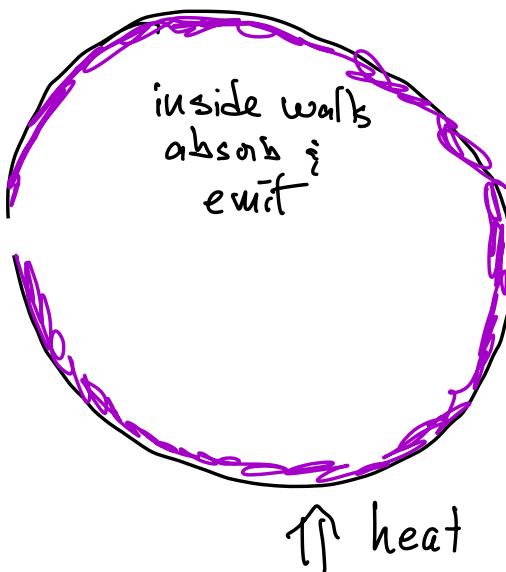
⇒ especially at shorter wavelengths

⇒ predicts infinite intensity at short wavelengths  
= high frequencies

Something is seriously wrong with our basic understanding!

Max Planck : 1900 published a result that DID fit

1. imagine a black body cavity  
⇒ energy in is from heat  
⇒ comes to equilibrium, so temp is constant  
⇒ the only radiation emitted is through the hole  
so cavity walls are absorbing  $E_{in}$  and emitting  $E_{out}$   
and  $E_{in} = E_{out} \Rightarrow$  constant temp  $T$



2. the way the walls emit: oscillators in the walls!  
⇒ atoms, but not known in 1900

Planck said that the oscillators emit EM waves  
but only at discrete values, not continuous!!

⇒ oscillators emit energy by transitioning from  
a higher to lower energy

$\Delta E = E_{\text{high}} - E_{\text{low}}$  are constrained to be proportional to frequency of the radiation

$\Delta E \propto hf$  where  $h$  is a constant

$\Rightarrow$  note this is consistent with Wien's law:

heat  $\Rightarrow$  temp and  $T \propto \frac{1}{\lambda_{\text{peak}}} = \frac{f_{\text{peak}}}{c}$

so  $E_{\text{radiation}} = n hf$   $n = \text{integer}$

this is completely new  $\rightarrow$  classical EM say all frequencies are possible

Planck says no, (reg of) radiation in cavities is discrete ('discontinuous')

$\Rightarrow$  Birth of quantum science

constant  $h = \text{Planck's constant}$

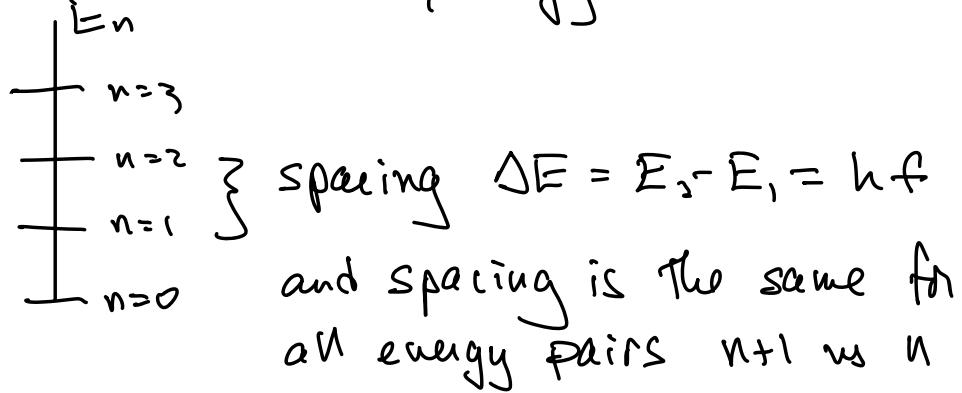
measured to be  $6.63 \times 10^{-34} \text{ J-s}$

using this, Planck calculate black body spectrum

$$I(\lambda, T) = \frac{2\pi hc^2}{\lambda^5} \frac{1}{e^{hc/\lambda k_B T} - 1}$$

$k_B = \text{Boltzmann's constant } 1.38 \times 10^{-23} \text{ J/K}$

so atoms are oscillators w/energy "levels"



ex: classical oscillator, mass on spring

$$\text{mass } m \quad \omega = \sqrt{\frac{k}{m}}$$

$$hf \quad k = 100 \text{ N/m} \\ m = 1 \text{ kg}$$

$$\omega = \sqrt{\frac{k}{m}} = 10 \frac{\text{rad}}{\text{sec}} = 2\pi f$$

$$\text{so } f = \frac{10}{2\pi} \text{ Hz} = 1.6 \text{ Hz}$$

if this were a quantum system, the oscillators would have energy spacing

$$\Delta E = hf = 6.63 \times 10^{-34} \text{ Js} \cdot 1.6 \text{ Hz} \\ = 10.6 \times 10^{-34} \text{ J}$$

minuscule amount of energy

$\Rightarrow$  tells you that quantum effects are hard to see in classical situations

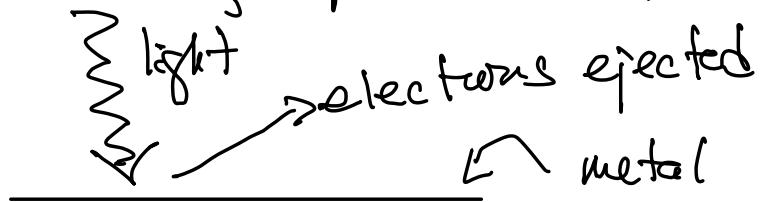
Plank's result was met with skepticism for 5-10 years until:

### Photoelectric effect

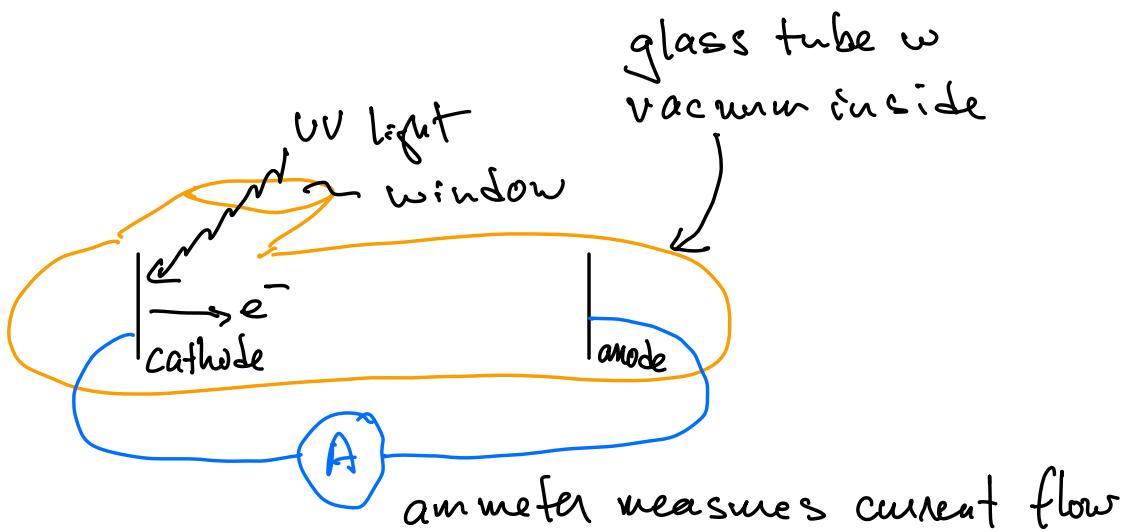
1839, Becquerel discovered photovoltaic effect

→ light on some materials generates voltages

late 1800s, experiments with metals:



Philipp Lenard experiment, 1900 (Nobel 1905)  
(1862-1947)



$\Rightarrow$  shine UV light onto cathode, registers a current in the ammeter

saw that: no light, no current

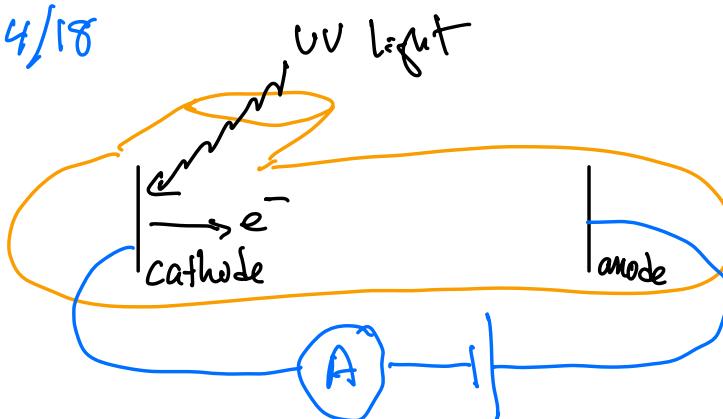
$\Rightarrow$  current in space between electrodes must be same as current in the wires thru meter

can measure intensity of current  $I_c$  vs intensity of light  $I_L$

finds that:  $I_c \propto I_L$

now add battery to circuit

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bias so anode is at  $+V$   
relative to cathode

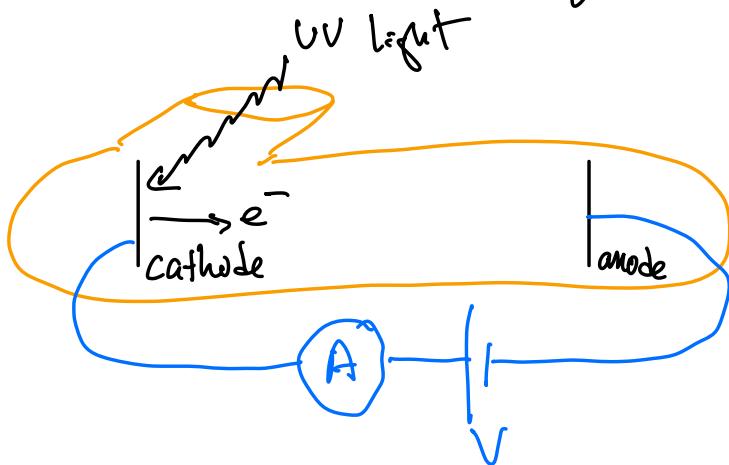
$\Rightarrow$  this will accelerate electrons to anode

Energy gained by electrons:  $\Delta E = eV$

However, Lenard observed that the current thru the ammeter did not change with increasing voltage

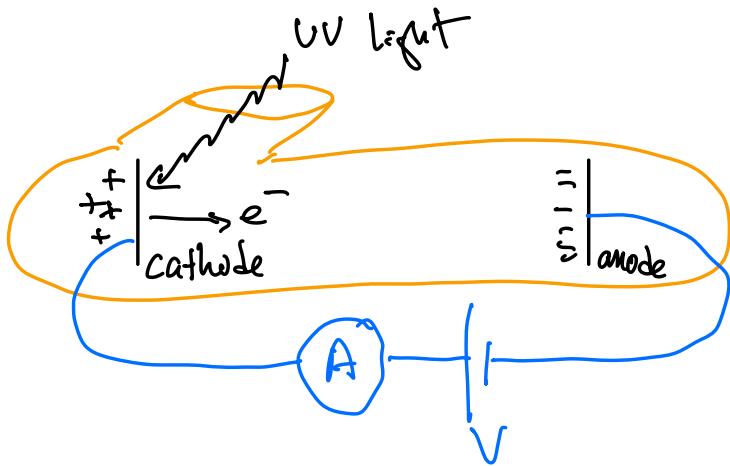
but still observed  $I_c \propto I_L$   
⇒ more light, more current

Next reverse polarity of battery



Here, electrons would have to overcome anode repulsion

⇒ Because battery is pumping + charges to cathode!



electrons will need  $KE \geq eV$  to get to anode

⇒ Lenard observed that as  $V$  increases, current dropped

but can make up for it by increasing light current

However: only up to some voltage  $V_s$   
(stopping voltage)

and, no matter how much you crank up light intensity  $I_L$ , still no current as long as  $V > V_s$ !

⇒ This implies that  $KE$  of ejected electrons is not proportional to light intensity!

Nest he had increase light frequency  
and found current  $I_c$  turned on!

so  $I_c \propto f_{\text{light}}$  when  $V > V_s$

this implies that KE of electrons ejected  
is proportional to the light frequency  
and not light intensity

Problems w/ "classical" picture of photo-electric  
effect

1. at some stopping voltage, current  $\rightarrow 0$   
expect current to reappear by increasing  
light intensity

result:

- current intensity does not depend  
on light intensity
- current reappears by raising light  
frequency

2. it takes some energy ("work function",  $\phi$ )  
to free an electron from the metal surface

$\Rightarrow$  any electron would have to absorb energy from light intensity to build up enough to get free

$\Rightarrow$  therefore there should be a delay between seeing a current & turning on light

Result: no delay seen - current intensity appears  $\rightarrow$  immediately!

### Note on units

Since  $h = 6.63 \times 10^{-34} \text{ J}\cdot\text{s}$  is so small, energies in joules will be small

ex: visible light has  $\lambda = 500 \text{ nm} = 500 \times 10^{-9} \text{ m}$

$$c = \lambda f \text{ so } f = \frac{c}{\lambda} = \frac{3 \times 10^8 \text{ m/s}}{500 \times 10^{-9} \text{ m}} = 6 \times 10^{14} \text{ Hz}$$

energy associated with this "photon"

$$E = hf = 6.63 \times 10^{-34} \text{ J}\cdot\text{s} \times 6 \times 10^{14} / \text{s}$$
$$= 4 \times 10^{-19} \text{ J} \text{ small!}$$

Remember from static electricity:

charge  $Q$  going thru potential diff of  $\Delta V$

picks up or loses energy  $E = Q\Delta V$

$Q$  for proton:  $e = 1.6 \times 10^{-19} \text{ coulombs}$

$$\text{if } \Delta V = 1 \text{ volt, } E = 1.6 \times 10^{-19} \text{ C} \cdot 1 \text{ V} = 1.6 \times 10^{-19} \text{ J}$$

lets define new unit: electron volt =  
energy of charge e thru 1 volt

then  $1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$  converts Joules to eV

then our  $6 \times 10^{14} \text{ Hz}$  photon has energy:

$$E = 4 \times 10^{-19} \text{ J} * \frac{1 \text{ eV}}{1.6 \times 10^{-19} \text{ J}} = 2.5 \text{ eV} \text{ nice unit!}$$

Now know use eV for particles, atoms, etc.  
Work function for most atoms that can emit electrons

Na	Sodium	2.36
Al	Alum.	4.06-4.26
Pb	Lead	4.25
Zn	Zinc	3.6-4.9
Fe	Iron	4.6-4.8
Cu	Copper	4.5-5.1
Si	Silicon	4.6-4.9
C	Carbon	5.0
Ag	Silver	4.2-4.7
Ni	Nickel	5.0-5.4
Au	Gold	5.1-5.5

units of eV

note all work functions  
are ~ few eV

Resolution: Einstein, 1905

1. Photons are particles

2. Energy of photon  $\propto$  photon frequency:

$$E = hf \quad h \equiv \text{Planck's constant}$$

as proposed  
by Planck  
in 1900

$$h = 6.63 \times 10^{-34} \text{ J-s}$$

3. each electron absorbs single photon and gains energy  $E = hf$

to get to anode w/ stopping voltage  $V_s$  and work function  $\phi$ :

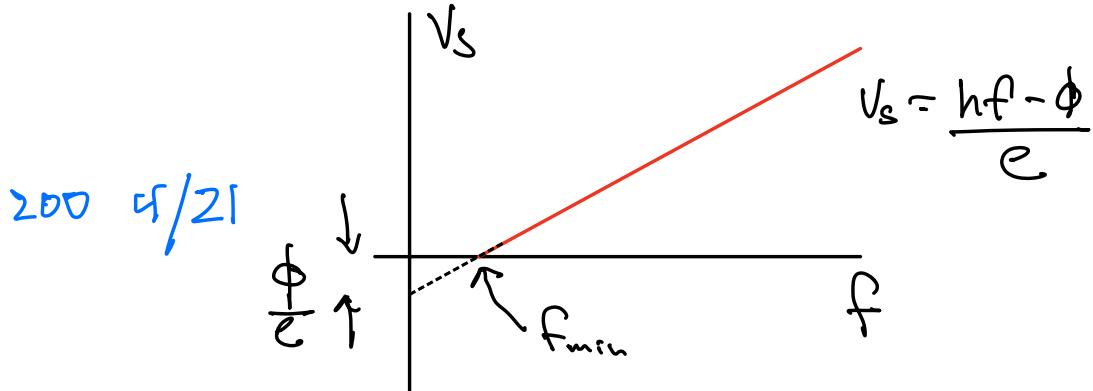
$$KE = hf - \phi \geq eV_s$$

experiment to do

1. shine light w/ some frequency  $f$

2. find  $V_s$  that causes zero current

3. vary  $f$ , measure  $V_s$ , plot:



- Positive slope gives  $\frac{h}{e}$
- y-intercept gives  $V_s(f=0) = -\phi/e$

Verified! Theory fit the data!

ex: light  $\omega/\lambda = 400\text{nm}$

$$c = \lambda f \text{ so } f = c/\lambda$$

$$E = \frac{hc}{\lambda} = \frac{6.63 \times 10^{-34} \text{ J-s} \times 3 \times 10^8 \text{ m/s}}{400 \times 10^{-9} \text{ m}} \\ = 5.0 \times 10^{-19} \text{ J small!}$$

$$\text{then in eV, } E = 5 \times 10^{-19} \text{ J} \times \frac{1 \text{ eV}}{1.6 \times 10^{-19} \text{ J}} = 3.1 \text{ eV}$$

so 400nm is not going to eject electrons except in sodium

$\Rightarrow$  usually we want to go from wavelength to energy

$$E = hf \quad \text{and} \quad f = c/\lambda$$

$$\text{so } E = \frac{hc}{\lambda}$$

we want to use  $\lambda$  in nm and  $E$  in eV  
so calculate  $hc$  in eV-nm

$$hc = 6.63 \times 10^{-34} \text{ J} \cdot \text{s} \times 3 \times 10^8 \frac{\text{m}}{\text{s}} = 1.989 \times 10^{-25} \text{ J} \cdot \text{m}$$

$$hc = 1.989 \times 10^{-25} \text{ J} \cdot \text{m} \times \frac{1 \text{ eV}}{1.6 \times 10^{-19} \text{ J}} \times \frac{1 \text{ nm}}{10^{-9} \text{ m}} = 1243 \text{ eV-nm}$$

use 
$$hc = 1243 \text{ eV-nm}$$

ex: light w/wave length 600 nm has energy

$$E = \frac{hc}{\lambda} = \frac{1243 \text{ eV-nm}}{600 \text{ nm}} = 2.1 \text{ eV}$$

ex: what is maximum wavelength of light that can eject electrons from Na and Au?

$$\text{for Na, } \Phi = 2.36 \text{ eV}$$

$$\text{Au } \Phi = 5.2 \text{ eV}$$

KE of ejected electrons:  $KE = E_\gamma - \Phi$

incident photon energy  
 $\downarrow$   
 energy needed  
 $\rightarrow$  to get out  
 of material

$$\text{minimum KE} = 0 \text{ so } E_\gamma - \Phi = 0$$

$$E_\gamma = hf_{\min} = \frac{hc}{\lambda_{\max}} = \phi \quad \lambda_{\max} = \frac{hc}{\phi}$$

for Na  $\lambda_{\max} = \frac{1243 \text{ eV} \cdot \text{nm}}{2.36 \text{ eV}} = 540 \text{ nm}$  green

for Au  $\lambda_{\max} = \frac{1243 \text{ eV} \cdot \text{nm}}{5.2 \text{ eV}} = 241 \text{ nm}$  UV

ex:  $\lambda = 300 \text{ nm}$  photons hit Na  
what is KE of electrons ejected?

$$\begin{aligned} KE = hf - \phi &= \frac{hc}{\lambda} - \phi = \frac{1243 \text{ eV} \cdot \text{nm}}{300 \text{ nm}} - 2.36 \text{ eV} \\ &= 4.14 \text{ eV} - 2.36 \text{ eV} = 1.78 \text{ eV} \end{aligned}$$

$$KE = 1.78 \text{ eV}$$

if mass of electron is  $9.1 \times 10^{-31} \text{ kg}$ , what is velocity?

rest energy of electrons  $E_0 = m_0 c^2 = 511 \text{ keV}$

here  $KE \ll E_0$  (kinetic energy  $\ll$  rest mass energy)

so use non-relativistic formula

(easier than relativity but will get the same answer)

$$\begin{aligned} KE = \frac{1}{2} m v^2 \Rightarrow v &= \sqrt{\frac{2KE}{m}} = \sqrt{\frac{2KE \cdot c^2}{mc^2}} = c \sqrt{\frac{2KE}{m c^2}} \\ &= \sqrt{\frac{2 \times 1.78 \text{ eV}}{511 \times 10^3 \text{ eV}}} \cdot c = 2.6 \times 10^3 \text{ m/s} \\ &= 7.9 \times 10^5 \text{ m/s} \end{aligned}$$

Photon energy & momentum

$$E = hf \quad \text{and} \quad c = f\lambda \quad \text{so} \quad f = c/\lambda$$
$$\therefore E = \frac{hc}{\lambda}$$

Special Relativity:  $E^2 = (pc)^2 + (m_0 c^2)^2$

from other experiments:  $m_0 = 0$  for photons ( $\gamma$ s)

$$\text{so} \quad E = pc$$

$$p = E/c = \frac{hc}{\lambda}/c = \frac{h}{\lambda}$$

$$\boxed{\begin{array}{l} E = \frac{hc}{\lambda} \\ p = \frac{h}{\lambda} \end{array}} \quad \left. \begin{array}{l} E = pc \\ p = \frac{h}{\lambda} \end{array} \right\} \quad \text{for photons}$$

note:  $hc = 6.63 \times 10^{-34} \text{ J-s} = 3 \times 10^8 \text{ m/s}$   
 $= 1.989 \times 10^{-25} \text{ J-m} = \frac{1 \text{ eV}}{1.6 \times 10^{-19} \text{ J}}$   
 $= 1.243 \times 10^{-6} \text{ eV-m}$   
 $= 1.243 \text{ eV-}\mu\text{m}$   
 $= 1243 \text{ eV-nm}$

This lets you convert from wavelength to energy

ex:  $\lambda = 400 \text{ nm}$

$$E = hf = \frac{hc}{\lambda} = \frac{1243 \text{ eV-nm}}{400 \text{ nm}} = 3.1 \text{ eV}$$

ex: laser pointer w/output 5mW emits red light,  $\lambda = 650 \text{ nm}$

$$\text{energy of each photon } E = \frac{hc}{\lambda} = \frac{1243 \text{ eV-nm}}{650 \text{ nm}} = 1.9 \text{ eV}$$

Compton scattering ? Compton effect

or Light scattering (off of other particles like electrons in air molecules)

Wave prediction:

$\Rightarrow$  light w/ freq  $f$  hits a charged particle (e.g. molecule in air)

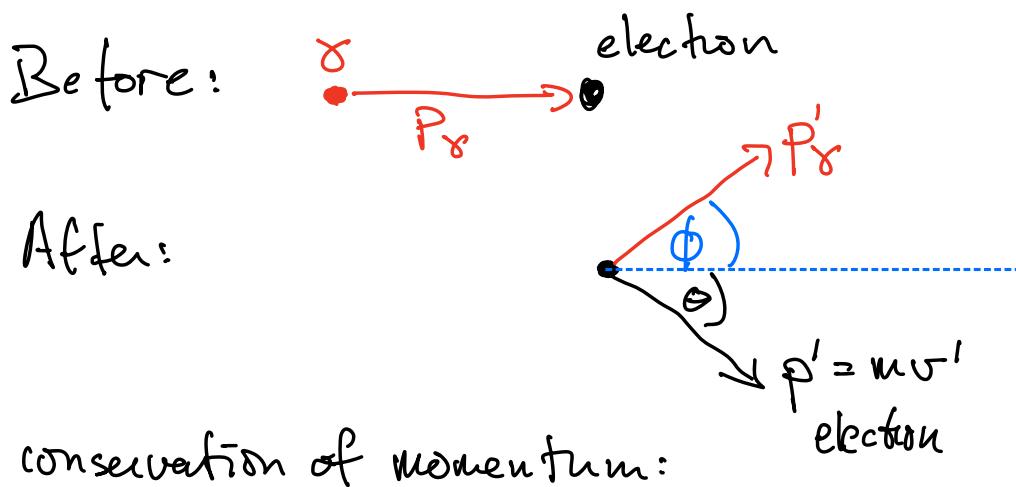
$\Rightarrow$  charged particle will feel  $\vec{E}$  from light and will oscillate like an antenna

$\Rightarrow$  that will send out EM waves from oscillating charged particle at same  $f$

so wave picture says absorption & radiation are at same freq  $f$

Photon model:

$\Rightarrow$  photons are particles with energy  $E_r = hf$



$$p_r = p'_r \cos \phi + p' \cos \theta \quad x \text{ component}$$

$$p_r - p'_r \cos \phi = p' \cos \theta$$

$$p'_r \sin \phi = p' \sin \theta \quad y \text{ component}$$

$$\text{so } (p_r - p'_r \cos \phi)^2 + p'^2 \sin^2 \phi = p'^2$$

$$p_r^2 + p'^2 \cos^2 \phi - 2p_r p'_r \cos \phi + p'^2 \sin^2 \phi = p'^2$$

$$so \quad p'^2 = p_\gamma^2 + p_\gamma'^2 - 2p_\gamma p_\gamma' \cos\phi \quad (1)$$

also conservation of energy:

$$Before: \quad E_{tot} = E_\gamma + mc^2$$

$$After: \quad E_{tot} = E_\gamma' + ((mc^2)^2 + (p'c)^2)^{1/2}$$

$$so \quad E_\gamma + mc^2 = E_\gamma' + ((mc^2)^2 + (p'c)^2)^{1/2}$$

$$(E_\gamma + mc^2 - E_\gamma')^2 = (mc^2)^2 + (p'c)^2$$

$$( (E_\gamma - E_\gamma') + mc^2 )^2 = (E_\gamma - E_\gamma')^2 + 2mc^2(E_\gamma - E_\gamma') + (mc^2)^2 \\ \simeq (mc^2)^2 + (p'c)^2$$

$$so \quad (E_\gamma - E_\gamma')^2 + 2mc^2(E_\gamma - E_\gamma') = (p'c)^2$$

for photons:  $E^2 = (mc^2)^2 + (pc)^2$  but  $m_0 = 0$  for  $\gamma$ 's

$$so \quad E = pc \quad (\text{photons only})$$

divide (2) by  $c^2$ :

$$p'^2 = \frac{(E_\gamma - E_\gamma')^2}{c^2} + 2mc(E_\gamma - E_\gamma') \\ = (p_\gamma - p_\gamma')^2 + 2mc(p_\gamma - p_\gamma') \\ = p_\gamma^2 - 2p_\gamma p_\gamma' + p_\gamma'^2 + 2mc(p_\gamma - p_\gamma')$$

from (1)

$$p'^2 = p_\gamma^2 + p_\gamma'^2 - 2p_\gamma p_\gamma' \cos\phi$$

subtract:

$$0 = -2p_x p_x' + 2mc(p_x - p_x') + 2p_x p_x' \cos\phi$$

$$2p_x p_x' (1 - \cos\phi) = 2mc(p_x - p_x')$$

for photons:  $p = \frac{E}{c} = \frac{hf}{c} = \frac{h}{\lambda} \quad (c = f\lambda)$

$$\text{so } \frac{2h^2(1-\cos\phi)}{\lambda\lambda'} = 2mc^2 \left( \frac{1}{\lambda} - \frac{1}{\lambda'} \right)$$

mult by  $\frac{\lambda\lambda'}{2}$ :  $h^2(1-\cos\phi) = mc^2(\lambda' - \lambda)$

so 
$$\boxed{\lambda' - \lambda = \frac{h}{mc}(1-\cos\phi)}$$

this predicts the shift in wavelength for scattering photons ("Compton scattering")  
 $\Rightarrow$  Verified by experiment!

note:  $\frac{h}{mc} = \frac{hc}{mc^2} \Rightarrow$  units of length  $\lambda_c$

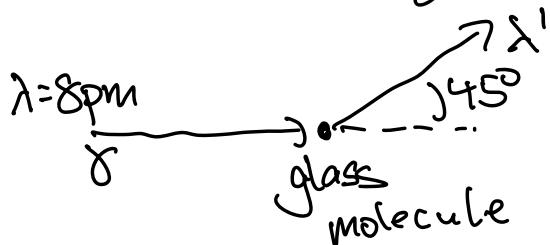
this is called the Compton wavelength  
 $\Rightarrow$  represents limit of precision for knowing a particle's position (more below)

$$\text{for electron } \lambda_c = \frac{hc}{mc^2} = \frac{1243 \text{ eV-nm}}{511 \times 10^3 \text{ eV}} = 2.43 \times 10^{-3} \text{ nm}$$

we usually use pm, pico-meters,  $1 \text{ pm} = 10^{-12} \text{ m}$

so  $\lambda_c$  for electron is  $2.43 \text{ pm}$

ex:  $\lambda = 80 \text{ pm}$  x-ray scatters off of electrons in glass  
what is  $\lambda'$  of scattered x-ray at  $45^\circ$ ?



Compton scattering of x-rays from electrons

$$\Delta\lambda = 2.43 \times (1 - \cos\theta)$$

$$= 2.43 \times (1 - \cos 45^\circ) = 0.71 \text{ pm}$$

$$\Delta\lambda = \lambda' - \lambda = 0.71 \text{ pm} \quad \text{so} \quad \lambda' = \lambda + 0.71 \\ = 80.71 \text{ pm}$$

note on how to determine whether relativistic or not

$$E = \gamma m_0 c^2$$

$$KE = (\gamma - 1) m_0 c^2$$

relativistic:  $\beta \rightarrow 1, \gamma \text{ large}, E \gg m_0 c^2$

non-relativistic:  $\beta \rightarrow 0, \gamma \rightarrow 1, \text{ so } KE \ll m_0 c^2$

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Light intensity  $I$  in photon picture

For light, power =  $\frac{\text{energy}}{\text{sec}}$

intensity =  $\frac{\text{power}}{\text{area}}$

intensity  $I = \text{flux, units}$  }  $\frac{\text{Power}}{\text{area}} = \frac{\text{Energy/sec}}{\text{area}}$

is the rate of energy flow per time thru an area  
What does this mean in photon picture?

let  $R = \# \text{f's/sec}$  photons per second

if all the photons have  $E = hf$  then  
energy/sec (power) is

$$\frac{\text{energy}}{\text{sec}} = \frac{\text{photons}}{\text{sec}} \times \frac{\text{energy}}{\text{photon}}$$

$$P = R \times hf$$

$$\text{or } R = \frac{P}{hf} \# \text{ of photons/sec}$$

ex: laser pointer has 5mW power and  $\lambda = 650\text{nm}$

$$R = \frac{P}{hf} = \frac{P}{hc/\lambda}$$

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$$= \frac{5 \times 10^{-3} \text{ J/s}}{1243 \text{ eV/nm}} \times 650 \text{ nm} \times \frac{\text{keV}}{1.6 \times 10^{19} \text{ J}} \\ = 1.6 \times 10^{16} \text{ s/sec}$$

ex: sun intensity is  $\sim 1400 \text{ W/m}^2$  at top of atmosphere

if all those  $\gamma$ 's were  $\sim 400 \text{ nm}$ , how many photons hit an area of  $1 \text{ m}^2$  per sec?

$$1400 \frac{\text{W}}{\text{m}^2} \times 1 \text{ m}^2 = 1400 \text{ W} = 1400 \frac{\text{J}}{\text{s}}$$

$$\text{total energy in J} = \# \gamma's \times \frac{\text{energy}}{\text{photon}} = N_\gamma \cdot h f \\ = N_\gamma \frac{hc}{\lambda} = N_\gamma \cdot \frac{1243 \text{ eV} \cdot \text{nm}}{400 \text{ nm}}$$

$$J = N_\gamma \cdot 3.1 \text{ eV}$$

$$1400 \frac{\text{J}}{\text{s}} = \frac{N_\gamma \cdot 3.1 \text{ eV}}{\text{s}} \text{ so } \frac{N_\gamma}{\text{s}} = \frac{1400 \text{ J}}{3.1 \text{ eV}} \times \frac{\text{eV}}{1.6 \times 10^{19} \text{ J}}$$

$$= 2.8 \times 10^{21} \text{ J/s}$$

lots of  $\gamma$ 's per sec!

## Atomic spectra

Heat material  $\rightarrow$  gives off light

$\Rightarrow$  Can use diffraction grating to carefully measure wavelengths of emitted light

If substance is a gas of one particular element, light emitted has a very restricted set of wavelengths: atomic spectra

e.g.



$\lambda \rightarrow$

Big puzzle!

What was known about atoms  $\sim$  1900:

1897: Thompson measured  $\frac{q_e}{m}$  of atoms

1909: Millikan measures  $q_e \Rightarrow$  this allowed determination of atomic masses

$\Leftrightarrow$  mass atoms  $\gg$  mass all electrons

## The nucleus

Up until 1910, theory of nucleus consisted of

1. electrons, negatively charged

⇒ cathode rays were readily observed

2. some positive charge

⇒ atoms observed to be neutral

"Plum pudding" model:

electrons (plums) embedded somehow in positively charged "pudding"

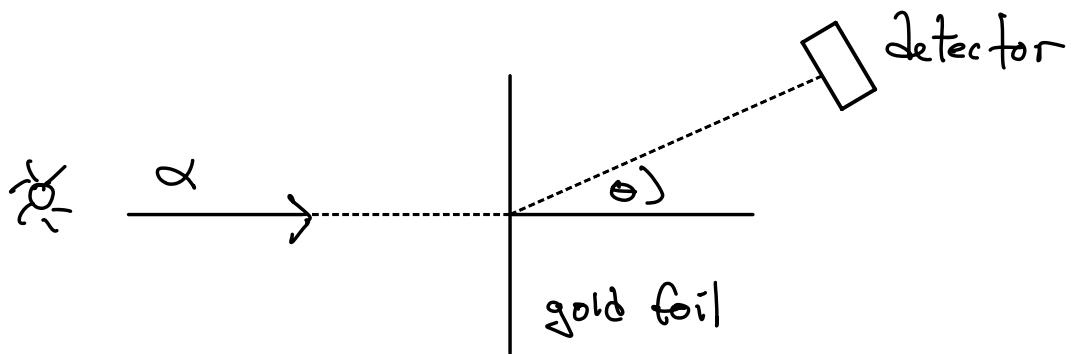
Ernest Rutherford, 1911, Univ of Manchester in England

had students do experiment:

particles called "alphas" bombarded target made of gold or silver

$\alpha$ 's: positive charge

come from naturally radioactive elements



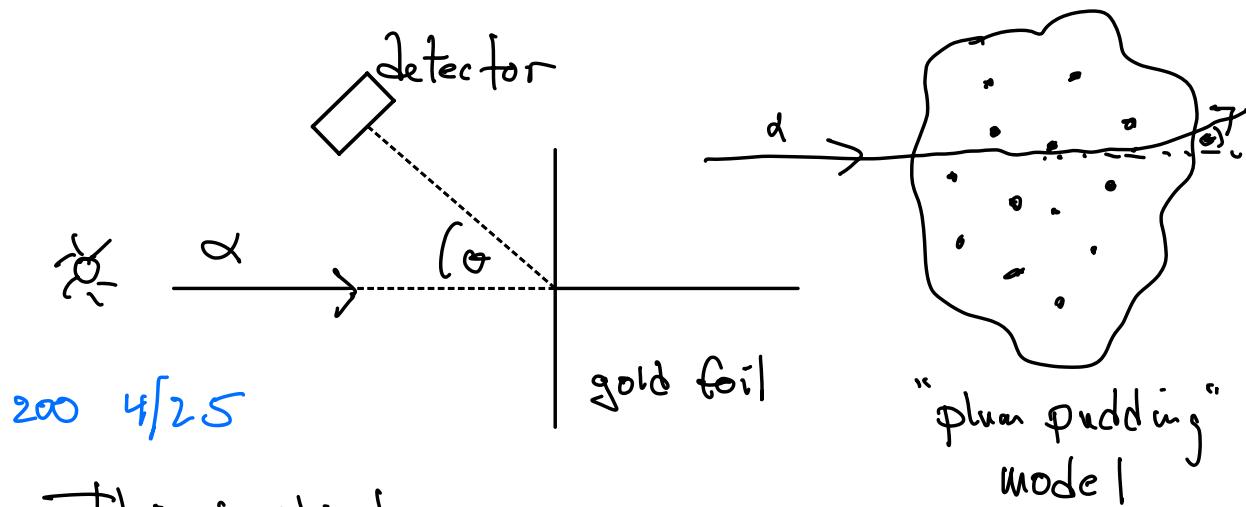
- $\alpha$ 's will penetrate gold foil if it's thin enough
- place detector at angle  $\theta$ , record  $\alpha$  hits
- vary  $\theta$

expectation:

- $\alpha$ 's would scatter weakly thru the "plum pudding"  $\} \text{per charge}$
- electrons have very small mass compared to  $\alpha$ 's ( $\frac{m_e}{m_\alpha} \approx \frac{1}{7000}$ ) so all scattering is due to the positively charged part of the atom
- since atom is neutral, scattering will be when  $\alpha$  is inside atom

$\Rightarrow$  such scattering experiments allow probing internal structure of things

Result was surprising: some back scattering!



This implied:

- "plum pudding" was not correct
- atom must have "hard center"  
 $\Rightarrow$  solar system model, hard positively charged nucleus w/ electron in "orbit"

$$\text{ex: } M_\alpha = 6.64 \times 10^{-27} \text{ kg}$$

$$M_\alpha c^2 = 6.64 \times 10^{-27} \text{ kg} \times \left(3 \times 10^8 \frac{\text{m}}{\text{s}}\right)^2 \approx \frac{1 \text{ eV}}{1.6 \times 10^{-19} \text{ J}}$$

$$= 3.735 \times 10^9 \text{ eV} = 3.735 \text{ GeV}$$

$K_E \neq 0$  from radioactive Ra(226) is 4.7 MeV

since  $KE \ll mc^2$  & are non-relativistic  
so do not need relativity

$$\text{ex: } KE = 4.7 \text{ MeV} = \frac{1}{2} mv^2 = \frac{1}{2} mc^2 \beta^2$$

$$4.7 \times 10^6 \text{ eV} = \frac{1}{2} (3.735 \times 10^9 \text{ eV}) \cdot \beta^2$$

$$\beta = \sqrt{\frac{2 \times 4.7 \times 10^6}{3.735 \times 10^9}} = 0.0502 \ll 1$$

$$v = \beta c = 0.0502 \times 3 \times 10^8 \frac{\text{m}}{\text{s}} = 15.1 \times 10^6 \frac{\text{m}}{\text{s}}$$

Rutherford's theory: electrons are in "orbit"  
around nucleus

Problems • that's like an antenna  $\rightarrow$  electrons in orbit should radiate energy and fall into nucleus

$\Rightarrow$  but atoms are stable!

• as electrons spiral in, charge of atom should change

$\Rightarrow$  it doesn't

• any emitted light should have continuous wavelengths

$\Rightarrow$  atomic spectra are not continuous

Rutherford's "solar system" model is consistent with scattering experiment results  
⇒ but not the rest of EM theory!

1913 Niels Bohr

- atomic spectra tell us that excited atoms emit light w/ specific wavelengths  
so  $E = \frac{hc}{\lambda} = hf$  must have specific (not continuous) energies

so atomic excitation must mean:

- excited atom has energy  $E_n$  ( $n$  is integer)
- de-excites, and emits  $\lambda$  of some frequency
- new energy is  $E_m$

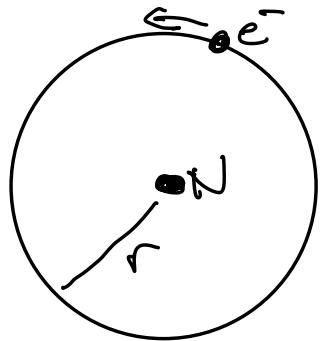
$$E_n - E_m = hf \text{ energy conservation}$$

⇒ heating atom drives it into high energy state  
⇒ de-excite transitions back & emits  $\lambda$

so energy levels of atoms must be quantized  
⇒ this is revolutionary!!

## Bohr model using quantization ideas

apply wave nature of electrons

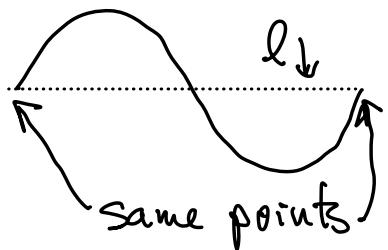


electron in orbit around nucleus

if electrons are in orbit w[radius  $r$ ] then circumference  $\ell = 2\pi r$

if electrons are waves then circumference has to be an integer number of wavelengths:

$$\ell = n \lambda_n = 2\pi r$$



this means radius is quantized:

$$2\pi r_n = n \lambda_n \Rightarrow \lambda_n = 2\pi r_n / n$$

and de Broglie holds:  $p = \frac{h}{\lambda}$

so if  $\lambda = \lambda_n$  then  $p$  is also quantized

$$p_n = m v_n = \frac{h}{\lambda_n} = \frac{h}{2\pi r_n / n} = \frac{n h}{2\pi r_n}$$

$$m v_n r_n = \frac{h n}{2\pi} = \tau_n \quad (\tau \equiv h/2\pi)$$

$m v_n r_n \Rightarrow$  angular momentum  $L_n$

$$\boxed{L_n = n \tau} \quad \text{angular momentum is quantized}$$

angular acceleration:  $\frac{m v^2}{r}$

Force due to EM attraction:

$$F_{EM} = \frac{1}{4\pi\epsilon_0} \frac{q_e q_n}{r_n^2} = \frac{m v^2}{r_n} \quad \left. \begin{array}{l} \text{centrifugal force on} \\ \text{electron} \end{array} \right\}$$

let  $q_e = -1e$  electron

$q_n = +1e$  hydrogen

magnitude of force  $F_{EM} = \frac{e^2}{4\pi\epsilon_0 r_n^2}$  inward (attracts)

this holds electron in orbit

centrifugal acceleration  $a_c = \frac{v^2}{r_n}$

Centrifugal force  $ma = \frac{mv^2}{r_n} = \frac{F_{EM}}{r_n}$  from EM attraction

$$\text{so } \frac{e^2}{4\pi\epsilon_0 r_n^2} = \frac{mv^2}{r_n}$$

$$\text{or } mv^2 r_n = \frac{e^2}{4\pi\epsilon_0} \quad \text{multiply both sides by } mr_n$$

$$\frac{m^2 v^2 r_n^2}{4\pi\epsilon_0} = \frac{mr_n e^2}{4\pi\epsilon_0}$$

$$mv\tau = p \cdot r = \text{angular momentum } L = mv\tau$$

$$\text{and } L = L_n = n\hbar \text{ from above}$$

$$\text{so } \frac{m^2 v^2 r_n^2}{4\pi\epsilon_0} = L^2 = n^2 \hbar^2 = \frac{mr_n e^2}{4\pi\epsilon_0}$$

$$\text{Solve for } r_n = n^2 \cdot \frac{4\pi\epsilon_0 \hbar^2}{me^2}$$

$$\text{multiply right side by } c^2/c^2$$

$$r_n = n^2 \cdot \frac{4\pi\epsilon_0 \hbar^2 c^2}{e^2 \cdot mc^2}$$

$$\hbar c = \frac{hc}{2\pi} = 1243 \text{ eV-nm} = 197.3 \text{ eV-nm}$$

$$mc^2 = 511 \text{ keV electron rest energy}$$

$\hbar c$  has units of Energy \* Length  
 $m c^2$  " " " Energy  
 $r_n$  " " " Length

so  $\frac{e^2}{4\pi\epsilon_0\hbar c}$  has no units, is a dimensionless number

$$\frac{1}{4\pi\epsilon_0} = 9 \times 10^9 \text{ N/C}$$

$$\text{so } \frac{e^2}{4\pi\epsilon_0\hbar c} = \frac{(1.6 \times 10^{-19} \text{ C})^2}{\frac{6.6 \times 10^{-34} \text{ J-s} \times 3 \times 10^8 \text{ m}}{2\pi}} \times 9 \times 10^9 \text{ N C}^2/\text{m}^2$$

$$= \frac{1}{137}$$

call this  $\alpha = \frac{1}{137}$  "fine structure constant"

$$\text{so } r_n = n^2 \cdot \frac{\hbar c}{\alpha m_e c^2} \quad \hbar c = \frac{hc}{2\pi} = \frac{1243 \text{ eV-nm}}{2\pi}$$

$$\frac{\hbar c}{\alpha m_e c^2} = \frac{1973 \text{ eV-nm} \cdot 137}{511 \times 10^3 \text{ eV}} = 197.3 \text{ eV-nm}$$

$$= 0.053 \text{ nm} = 0.53 \text{ \AA} \quad (\text{Angstrom})$$

$$1 \text{ \AA} = \frac{1}{10} \mu\text{m}$$

define "Bohr radius"  $a_0 = 0.53 \text{ \AA}$

then  $r_n = n^2 a_0$  for hydrogen

ground state  $n=1$   $r_1 = a_0$  radius of  $e^-$  in ground state

$$n=2 \quad r_2 = 4a_0$$

$$n=3 \quad r_3 = 9a_0$$

etc up to  $n=\infty$  where electron is "free" (no longer bound to proton)

so angular momentum and radii of electron in H atom are quantized

$\Rightarrow$  what about energy?

is electron relativistic?

$L_n = n\hbar = m\mathbf{v}r_n$  angular momentum  
in ground state  $r_1 = 1^2 \cdot a_0 = 0.53 \text{ \AA}$

$$\text{write } \mathbf{v} = \mathbf{r}/t$$

$$\text{and so } \mathbf{v}c = mc \cdot \mathbf{v}r_1$$

$$= mc^2 \cdot \frac{v}{c} \cdot r_1$$

$$\text{so } \frac{v}{c} = \frac{mc}{m^2 r_1} = \frac{197.3 \text{ eV} \cdot \text{nm}}{511 \times 10^3 \text{ eV} \cdot 0.053 \text{ nm}}$$

$$= 7.3 \times 10^{-3} \text{ much less than 1}$$

non relativistic

energy  $E = \underbrace{KE}_{\frac{1}{2}mv^2} + \underbrace{PE}_{EM \text{ potential energy}}$

$$KE = \frac{1}{2}mv^2 = \frac{1}{2} \frac{mv^2}{r} \cdot r$$

but we see above  $\frac{mv^2}{r} = F_{EM} = \frac{e^2}{4\pi\epsilon_0 r^2}$

$$\text{so } KE = \frac{1}{2} \frac{e^2}{4\pi\epsilon_0 r_n^2} \cdot r_n = \frac{1}{2} \frac{e^2}{4\pi\epsilon_0 r_n}$$

$$PE = \frac{-e^2}{4\pi\epsilon_0 r_n} \quad (\text{last semester})$$

$$\text{so } E = KE + PE = \frac{1}{2} \frac{e^2}{4\pi\epsilon_0 r_n} - \frac{e^2}{4\pi\epsilon_0 r_n}$$

$$= \frac{-e^2}{2 \cdot 4\pi\epsilon_0 r_n}$$

substitute  $r_n = n^2 \cdot \frac{hc}{\alpha mc^2}$

$$E = - \frac{-e^2}{2 \cdot 4\pi\epsilon_0} \frac{\alpha mc^2}{n^2 hc} = - \underbrace{\frac{1}{2} \frac{e^2}{4\pi\epsilon_0 hc}}_{\alpha} \frac{\alpha \cdot mc^2}{n^2}$$

$$E_n = \frac{1}{2} \frac{\alpha^2 mc^2}{n^2}$$

$$\frac{\alpha^2 m c^2}{2} = \frac{1}{2} \cdot \left( \frac{1}{137} \right)^2 \cdot 5 \text{H} \times 10^3 \text{eV} = 13.6 \text{eV}$$

note  $13.6 \text{eV} \ll m_e c^2$  so non relativity OK

$$\Rightarrow E_n = -\frac{13.6 \text{eV}}{n^2}$$

400 4/28

$E_n < 0$  because it takes energy to free the electron

need 13.6eV added to hydrogen to free electron (and the liberated electron will have no KE!)

add more than 13.6 and  $e^-$  will have KE  
13.6 is the work function of hydrogen!

lowest energy is called the "ground state"

ex: atomic spectra of some element has 3 longest wavelengths: 1240 nm, 620 nm, 484 nm

This means there are 3 transitions

$$\Delta E_0, \Delta E_1, \Delta E_2$$

let  $E_0 = 0.0\text{eV}$

$\Delta E = hf = \frac{hc}{\lambda}$  so 1240 nm has smallest energy transition

$$\Delta E_0 = \frac{hc}{1240\text{nm}} = \frac{1243\text{eV-nm}}{1240\text{nm}} \approx 1\text{eV}$$

$$\Delta E_1 = \frac{hc}{620\text{nm}} = \frac{1243\text{eV-nm}}{620\text{nm}} \approx 2\text{eV}$$

$$\Delta E_2 = \frac{hc}{414\text{nm}} = \frac{1243\text{eV-nm}}{414\text{nm}} \approx 3\text{eV}$$

what fits:  $E_0 = \text{ground state} = 0.0\text{eV}$

transition from  $E_1 \rightarrow E_0$

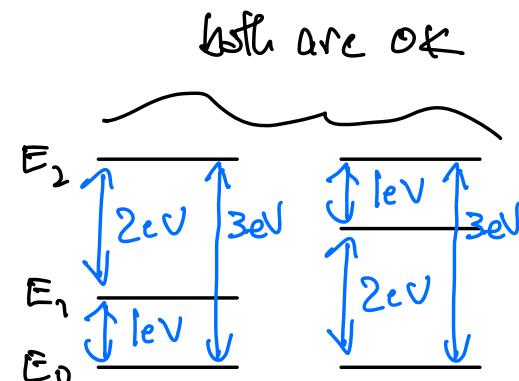
$$E_2 \rightarrow E_0$$

$$E_2 \rightarrow E_1$$

$$E_2 \rightarrow E_0 = E_2 \rightarrow E_1 + E_1 \rightarrow E_0$$

$$\text{so } 3\text{eV} = 2\text{eV} + 1\text{eV}$$

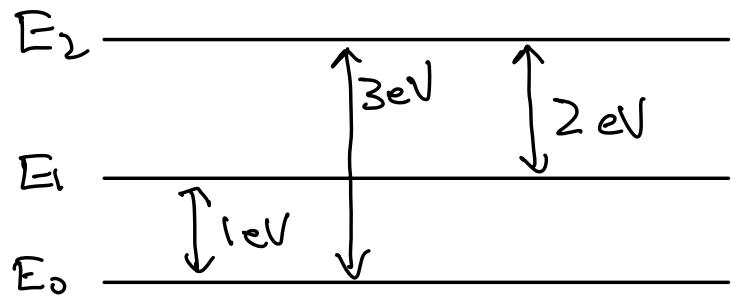
$$\text{or } 3\text{eV} = 1\text{eV} + 2\text{eV}$$



break ambiguity by measuring what  $\gamma$ 's can be absorbed by ground state

ex: say you measure that ground state can change by absorbing 1240 nm (1eV) or 414 nm (3eV) & but not 620 nm (2eV)

$\Rightarrow$  this tells you the energy levels look like this



$\Rightarrow$  the 620nm  $\gamma$  has no effect on atom in ground state

But if you excite atom with 414nm  $\gamma$ , it can go into  $E_2$  state and decay  $\rightarrow E_1$  emitting 620nm  $\gamma$ .

## Bohr theory recap

1. Atoms have "states"
2. each state has a different energy, radius of electron, angular momentum
3. states are "quantized", not continuous  
"n" for each state,  $n=1$  is ground state  
quantized properties

$$L_n = n\hbar \quad \text{angular momentum, } \hbar = \frac{h}{2\pi}$$

$$r_n = n^2 a_0 \quad \text{radius } a_0 \text{ is Bohr radius}$$

$$a_0 = 0.529 \text{ \AA} = 0.0529 \text{ nm}$$

$$a_0 = hc/dm_e c^2 \quad m_e = \text{electron mass}$$

$$E_n = -\frac{13.6 \text{ eV}}{n^2}$$

## Atomic spectra:

ground state H is  $E_1 = -13.6 \text{ eV}$

1st excited state  $E_2 = -\frac{13.6 \text{ eV}}{2^2} = -3.4 \text{ eV}$

to excite H from  $E_1$  to  $E_2$  add energy

$$E_{in} = E_2 - E_1 = -3.4 - (-13.6) = 10.2 \text{ eV}$$

to excite using photon,  $E_{in} = E_\gamma = \frac{hc}{\lambda}$

$$\text{so need photon } \lambda = \frac{hc}{E_\gamma} = \frac{1243 \text{ nm}}{10.2 \text{ eV}}$$

$$= 121.9 \text{ nm (UV } \delta)$$

⇒ Any photon of wavelength  $\lambda$  that satisfies:

$$\frac{hc}{\lambda} = E_m - E_n \quad m, n \text{ integers}$$

will be absorbed by atom

Emission: can happen when atom goes from  $n$  to  $m$  state ( $n > m$ )

transitions:  $\frac{hc}{\lambda} = E_{n_i} - E_{n_f} \quad n_f < n_i \quad (\text{eg. } 2 \rightarrow 1)$

$$= -\frac{13.6 \text{ eV}}{n_i^2} + \frac{13.6 \text{ eV}}{n_f^2}$$

$$= 13.6 \text{ eV} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$$

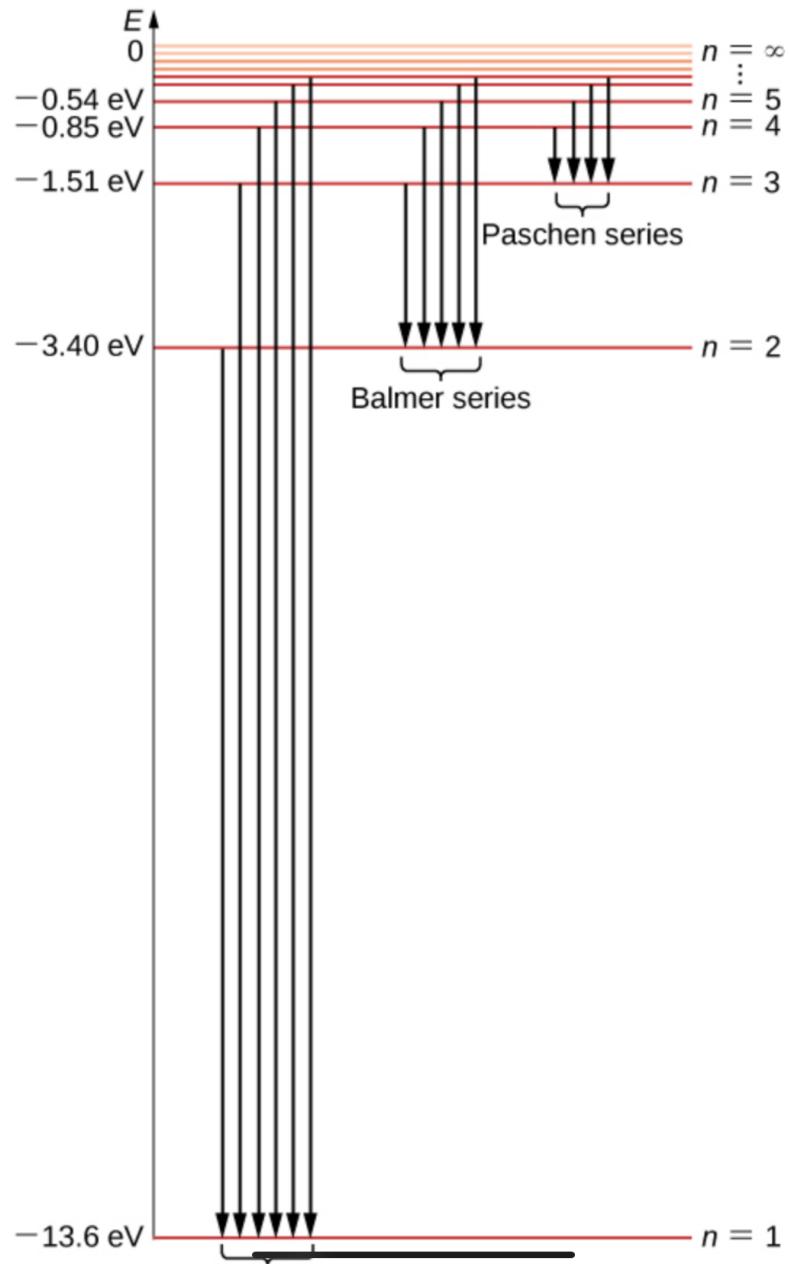
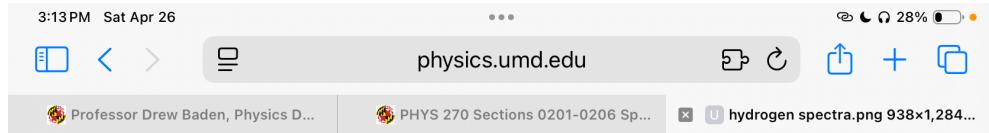
write  $\frac{1}{\lambda} = \frac{13.6}{hc} \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$

$$\frac{13.6}{hc} = \frac{13.6 \text{ eV}}{1243 \text{ eV} \cdot \text{nm}} = 1.09 \times 10^{-2} \text{ nm}^{-1}$$

define  $R = 1.09 \times 10^{-2} \text{ nm}^{-1}$  "Rydberg constant"

then  $\frac{1}{\lambda} = R \left( \frac{1}{n_f^2} - \frac{1}{n_i^2} \right)$  is wavelength of  $\delta$  emitted

when it transitions from  $n_i$  to  $n_f$



## Waves & Particles?

Light diffracts & interferes  $\Rightarrow$  waves

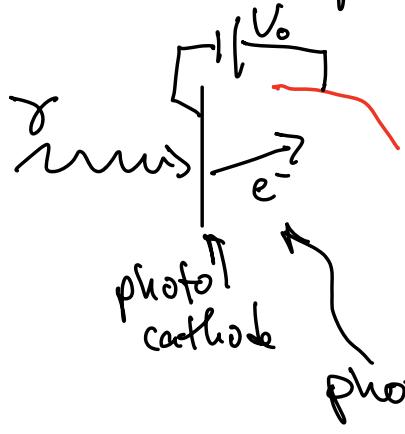
Light consists of photons  $\Rightarrow$  particles?

Which is correct? Both

If you do an experiment to measure wave-like properties, it will behave like a wave.

Same for particle-like properties

Photo-multiplier tube: detects photons:



electron is accelerated to 1<sup>st</sup> stage, which is at a  $+V_0$  potential

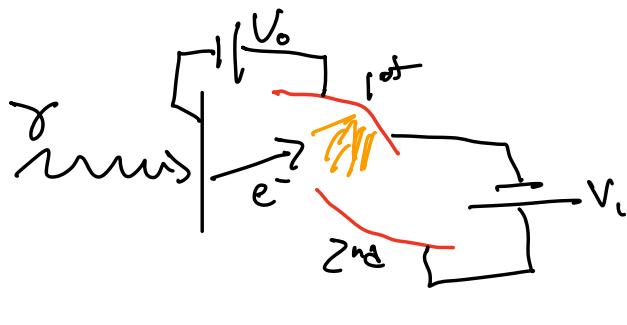
photoelectric effect

$e^-$  gains energy  $eV_0$  and hits plate

this kicks off more electrons that share  $eV_0$  energy

$\Rightarrow$  next place 2<sup>nd</sup> stage w/ potential  $V_1$  above 1<sup>st</sup>

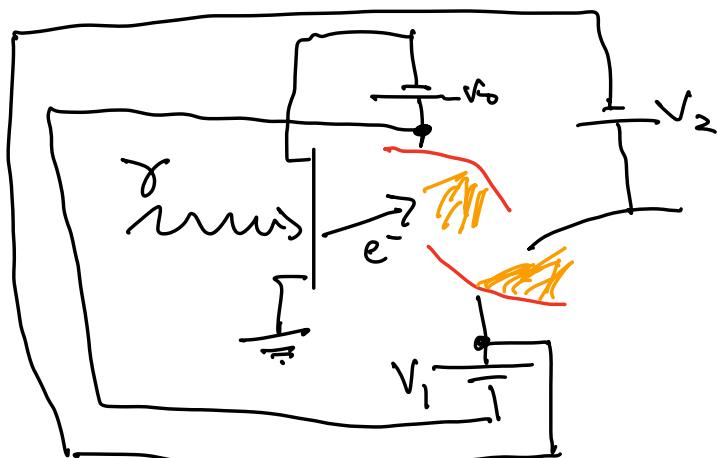
accelerate electrons from 1<sup>st</sup> stage to 2<sup>nd</sup> stage



secondary electrons  
each gain energy  
 $eV_1$  and hit  
2<sup>nd</sup> stage

2<sup>nd</sup> stage produces tertiary electrons that  
head to 3<sup>rd</sup> stage that is at  $V_2$  above

3<sup>rd</sup> stage



ect. Each stage produces  $N \times$  previous stage.

if you have  $n$  stages, final current of electrons:

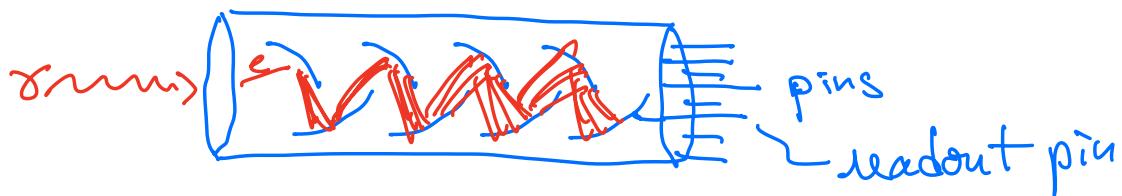
$$P = \frac{\Delta Q}{\Delta t} = \frac{N^n \cdot e}{\Delta t}$$

these are fast,  $\Delta t \approx 10 \text{ ns}$

if you start w/ 1 photon and have  $N=10$  produced at each stage in  $10 \text{ ns}$ :

$$I = 10^{10} \cdot \frac{1.6 \times 10^{-19} C}{10 \times 10^{-9} \text{ s}} = 0.16 \text{ Amps}$$

so it's a photo-multiplier



- Built into cylindrical form factor
- pins at end are for various stage voltages and final stage readout pin

that's why it's called a photo-multiplier tube (PMT)  
 can be used to detect individual photons

## Matter waves

light is a wave with  $f, \lambda$  wave properties

Planck & Einstein:  $E = hf$  energy

$\Rightarrow$  looks like a particle that we call a "photon"

relativity:  $E^2 = (pc)^2 + (m_0 c^2)^2$

mass of photon = 0 experimentally

$$\text{so } E = pc = hf$$

$$p = \frac{hf}{c} = \frac{h}{\lambda}$$

Compton scattering assumes light is a particle and experiments confirm Compton scattering

so a wave = a particle

$$E = hf = \frac{h}{2\pi} \cdot 2\pi f = \hbar \omega \quad \left. \right\} \hbar = \frac{h}{2\pi}$$

$$p = \frac{h}{\lambda} = \frac{2\pi}{\lambda} \cdot \frac{h}{2\pi} = \hbar k \quad \left. \right\} \hbar = \frac{h}{2\pi}$$

$$\hbar c = \frac{hc}{2\pi} = \frac{1243 \text{ eV} \cdot \text{nm}}{2\pi} = 197.8 \text{ eV} \cdot \text{nm}$$

Does a particle w/ mass also have wave properties?

yes! then if a particle has  $m, E, p$

then  $f = \frac{E}{h}$  &  $\lambda = \frac{h}{p}$  for particles (electrons, protons, ...)

here  $E$  &  $p$  are the usual energy & momentum (and can be relativistic or not)

ex: baseball mass  $\sim 145 \text{ gm}$

$$\text{velocity} \sim 90 \text{ mph} \times \frac{0.447 \text{ m/s}}{1 \text{ mph}} = 40.2 \text{ m/s}$$

$v \ll c$  so use non-relativistic

$$p = mv = 0.145 \text{ kg} \times 40.2 \text{ m/s} = 5.83 \text{ kg m/s}$$

$$\lambda = \frac{h}{p} = \frac{6.63 \times 10^{-34} \text{ J-s}}{5.83 \text{ kg m/s}} = 1.14 \times 10^{-34} \text{ m}$$

too small to measure!

so wave properties are irrelevant for "classical" every day experience

ex: electron has  $E = 2 \text{ MeV} > m_0 c^2$

$$\begin{aligned} E = hf &= \frac{hc f}{c} \rightarrow f = \frac{E \cdot c}{hc} \\ &= \frac{2 \times 10^6 \text{ eV}}{1243 \text{ eV-nm}} \times 3 \times 10^8 \frac{\text{m}}{\text{s}} \times \frac{1 \text{ nm}}{10^{-9} \text{ m}} \end{aligned}$$

$$= 4.8 \times 10^{20} \text{ Hz!}$$

electron mass  $= m_0 c^2 = 0.511 \text{ eV} < E$  so use relativity to get electron momentum

use  $E^2 = (pc)^2 + (m_0 c^2)^2$

$$pc = \sqrt{E^2 - (m_0 c^2)^2} = \sqrt{(2 \text{ MeV})^2 - (0.511 \text{ MeV})^2}$$

$$= 1.93 \text{ MeV}$$

$$\lambda = \frac{h}{p} = \frac{hc}{pc} = \frac{1243 \text{ eV-nm}}{1.93 \times 10^6 \text{ eV}} = 6.4 \times 10^{-4} \text{ nm}$$

$$= 0.64 \times 10^{-3} \text{ nm}$$

$$= 0.64 \text{ pm}$$

Note: for particles:  $E = hf$

$$p = \frac{h}{\lambda}$$

$$\text{so } \frac{E}{p} = \frac{hf}{h/\lambda} = \lambda f = v \text{ and } \frac{E}{pc} = \frac{v}{c} = \beta$$

but if you write  $E = \gamma m_0 c^2$

$$pc = \gamma \beta m_0 c^2$$

$$\text{then } \frac{pc}{E} = \beta \text{ and } \frac{E}{pc} = \frac{1}{\beta}$$

so which is correct?

this brings up difference between the

$v_g$  = group velocity

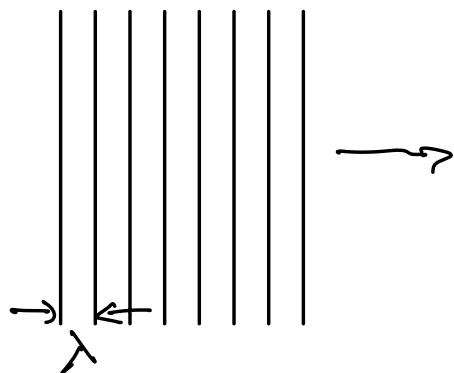
$v_p$  = phase velocity

$v_g$  carries energy, not  $v_p$  so  $\frac{E}{pc} = v_g$  group velocity  
and  $v_p = \lambda f$  phase velocity

## Waves vs particles

waves have wave length  $k = \frac{2\pi}{\lambda}$

wave front :



has definite wavelength

but is not localized  $\rightarrow$  wave exists  
along infinite wave front

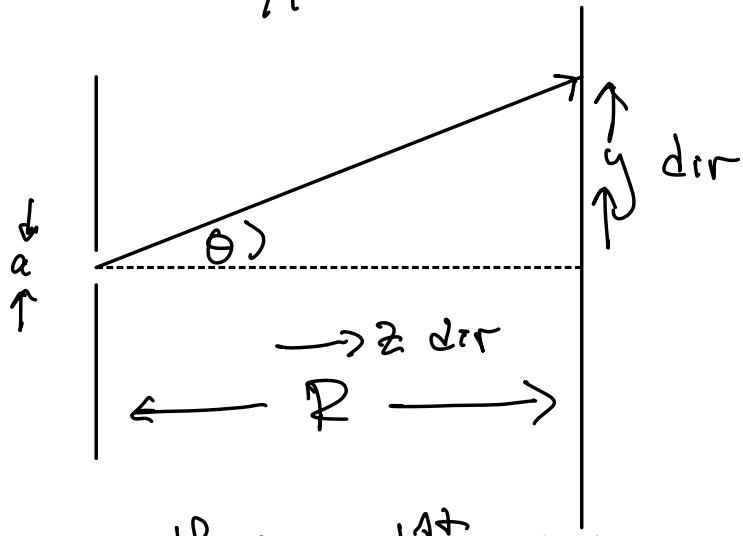
particles have position coordinates

$\Rightarrow$  but a particle not moving

does not have a definite wavelength

$\Rightarrow$  this implies that in wave-particle picture there is a relationship  
between the degree that you can  
know position: uncertainty in  $x \Rightarrow \Delta x$   
and momentum: " " "  $p \Rightarrow \Delta p$   
(thus  $p = h/x$  relation)

Single slit diffraction is wave/particle



From wave theory: 1<sup>st</sup> minimum is at  
 $a \sin \theta_1 = \lambda$

uncertainty in wave position in slit:

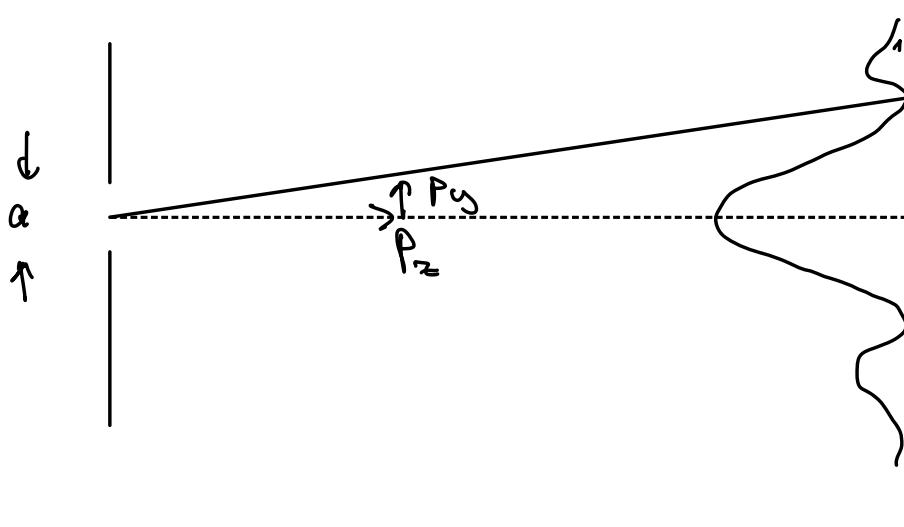
$$\Delta y = a$$

wave has a momentum along  $z$  direction:

$$p_z = \frac{h}{\lambda} = \hbar k$$

for photon to get to 1<sup>st</sup> min angle,  
it would have to pick up some  
unknown momentum  $\Delta p_y$

$$\text{and can write } \sin \theta \sim \tan \theta = \frac{\Delta p_y}{p_z}$$



$$\text{so } \Delta x \sin \theta \rightarrow \Delta y \cdot \frac{\Delta p_y}{p_z} = \lambda$$

$$\text{or } \Delta y \Delta p_y \sim \lambda p_z = \lambda \frac{h}{x} = h$$

$$\Delta y \Delta p_y \sim h$$

$\Rightarrow$  when you do the calculation using more modern techniques of quantum mechanics you get:

$$\Delta x \Delta p \geq \hbar/2$$

$\Delta x$  is "uncertainty" in position

$\Delta p$  " " " momentum along  $x$

this kind of relationship is common  
in Fourier analysis of signals

$\Rightarrow$  for wave/particles, it all has to do with the idea  
that a wave is not a localized thing  
but a particle is

current theory on what is a matter wave:

wave function for matter wave:  $\psi(x, t)$

this wave can be a simple periodic oscillation:

$$\psi(x, t) = A \sin(kx - \omega t) \text{ for a free particle}$$

it describes a particle w/ wavelength  $k = \frac{2\pi}{\lambda}$   
and freq  $\omega = 2\pi f$

- but what is oscillating?

$\Rightarrow \psi$  is the "amplitude" for finding the particle at position  $x$ , at time  $t$   
 $\Rightarrow |\psi|^2$  is the probability of finding the particle there & then

- where is the particle if it has a wavelength and frequency?

$\Rightarrow$  that's where uncertainty relation comes in:

if you constrain particle to be in region  $\Delta x$ ,  
then you cannot know its momentum precisely

$$\Rightarrow \Delta p = \frac{\hbar/2}{\Delta x} \text{ so as } \Delta x \rightarrow 0, \Delta p \rightarrow \infty \text{ and}$$
$$\text{as } \Delta x \rightarrow \infty, \Delta p \rightarrow 0$$

Heisenberg  $\Delta x \Delta p_x \geq \hbar/2$

Very fundamental to QM:

$\Rightarrow$  you can not (in principle) know precisely both position and momentum

also there's an uncertainty relation for energy:

$$\Delta E \Delta t \geq \hbar/2$$

$\Rightarrow$  cannot localize in time and know energy precisely

The more localized in position, the less definite the momentum

& Vice versa

But the theory also says more:

- The position & momentum do not exist until measured!
- Only the probability for having some value of position and momentum exist
- The wave equation tells you this probability

It is not that you don't know position?  
momentum

⇒ it's that a definite position is a definite momentum do not exist

reality at subatomic (quantum) level:  
the only thing that exists is probability

⇒ This might seem odd or wrong but all technology is based on it

### Schrodinger's Cat

put cat in box w/ radioactive source

QM says:

1. cannot predict when source will decay
2. can predict probability as function of time that has decayed
3. can make many measurements, construct probability, compare w/ theory - agrees

4. before measuring, decay is not a valid concept  $\rightarrow$  particle is in a superposition of "decayed" and "not decayed"
  - $\Rightarrow$  state of decayed or not only is real once you make the measurement
  - $\Rightarrow$  this is called "collapse of wave function"

Schrodinger cat  $\rightarrow$  we still don't agree about it!